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Title:	LDPC Coding Proposal for LBC
Abstract:	This contribution provides an LDPC coding proposal for LBC
Source:	Alcatel-Lucent, Huawei, LG Electronics, QUALCOMM Incorporated, RITT, Samsung, ZTE
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Date:	March 15, 2007
Recommendation:	Review and adopt

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# Introduction to LDPC Codes

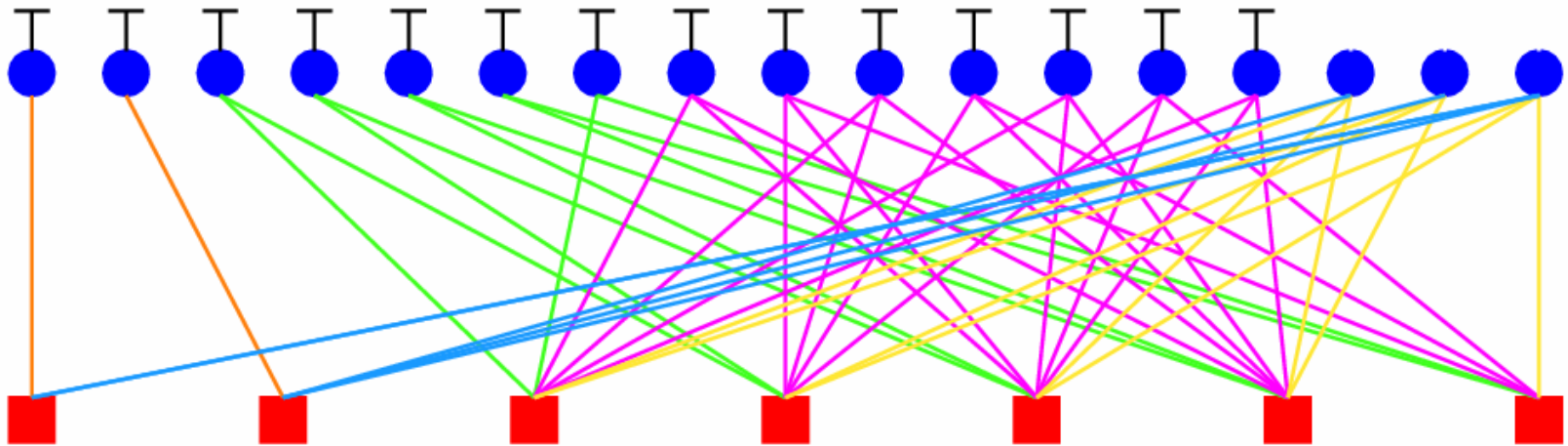
- LDPC (Low Density Parity Check) codes are defined by a sparse parity check matrix
  - Parity check matrix consists of a set of linear constraints on the transmitted bits
- Can also be represented in the form of a Tanner graph
  - Bipartite graph consisting of variable nodes and parity check nodes
  - Variable nodes represent bits in the codeword
    - In addition, can also contain some “punctured” bits that are not transmitted on the channel
  - Check nodes represent the constraints that define the code
    - The bits corresponding to the variable nodes that are connected to any given check node are constrained to sum to 0
    - A sparse parity check matrix corresponds to a sparse tanner graph
- Belief-propagation decoding
  - Consists of passing beliefs, in the form of log-likelihood ratios, along the edges of the Tanner graph
  - In each iteration, pass LLRs once from variable nodes to check nodes, and once from check nodes to variable nodes
  - Complexity of belief propagation is proportional to the number of edges in the graph

## Multi-Edge-Type LDPC codes

- Generalization of irregular LDPC – richer language for describing structure.
- Structures optimized using density evolution.
- Each edge type carries distinct density.
- Sophistication of structure does not induce complexity in implementation – in fact the opposite is true.
- Multi-edge-type framework used for designing base graph

# Tanner graphs for multi-edge type LDPC

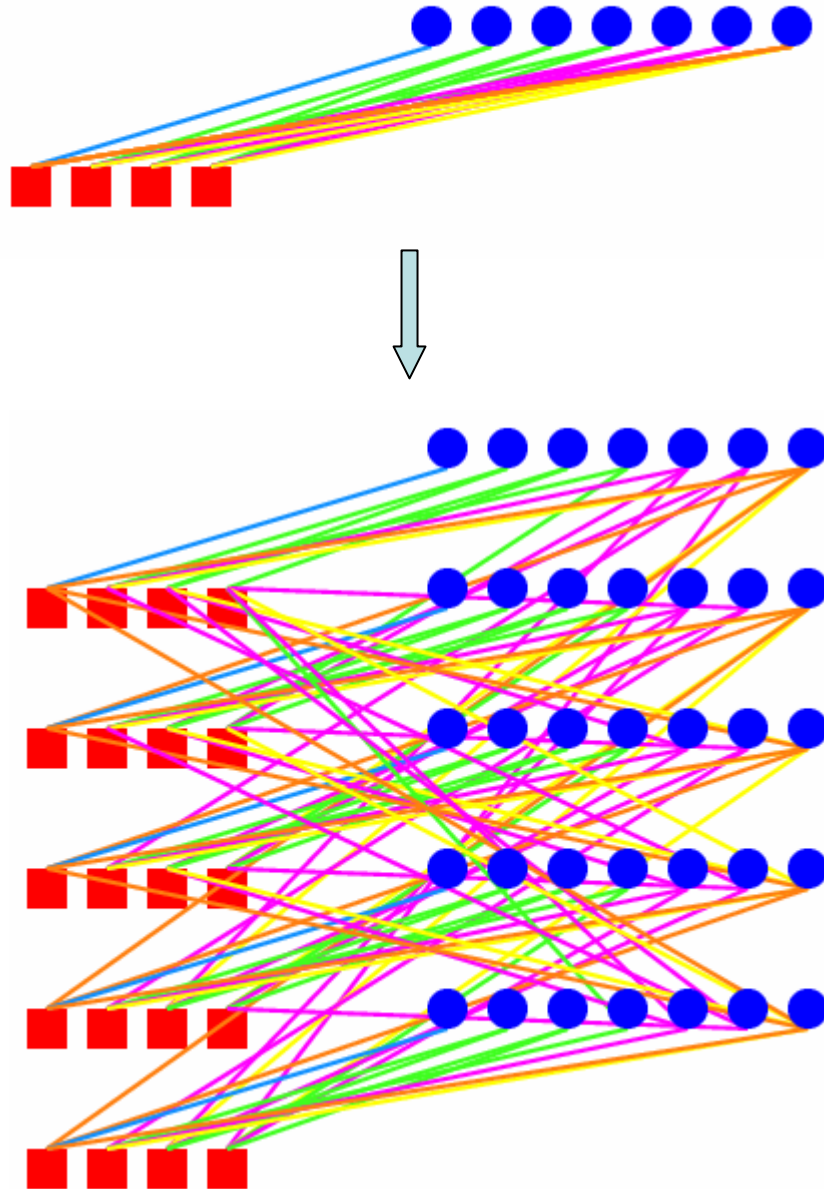
- Tanner graph for multi-edge type.
- Color indicates edge type.
- Dongles indicate transmitted bits.
- Variable nodes and check nodes.



# Matched-Lifted LDPC Codes

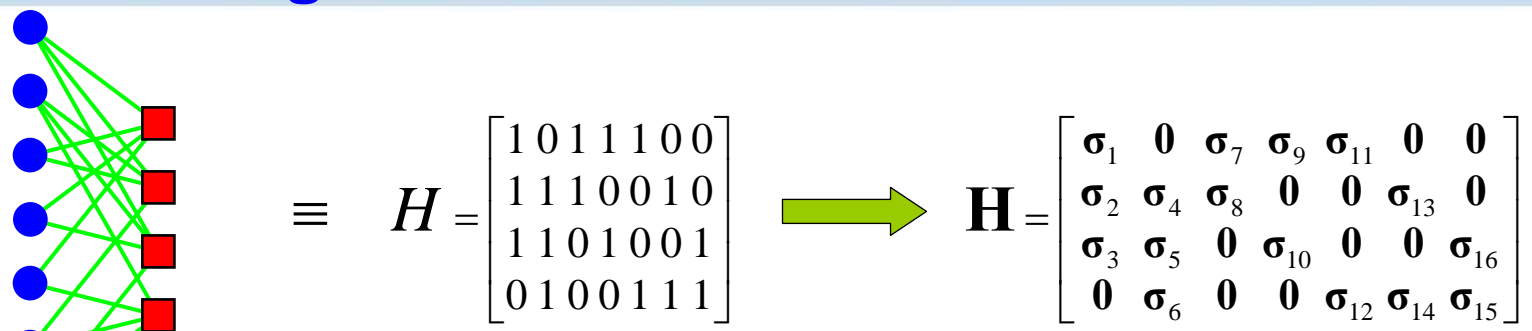
- Lifting is a technique to generate a large LDPC code from several copies of a small “base code”
  - Enables implementation of parallel encoding and decoding algorithms
  - Reduces description complexity for the large code
- Start with a small parity check matrix, say of size  $(n_0, k_0)$ 
  - This code is called the base code
- To get a lifting of size  $L$ :
  - Replace each non-zero entry in the parity check matrix by a  $L \times L$  permutation matrix: each edge in the Tanner graph with  $L$  edges
  - Yields a code of size  $(Ln_0, Lk_0)$  with  $L$  times the number of edges in the base code
- Matched Lifting
  - The different  $L \times L$  permutation matrices are chosen from a group of order  $L$ 
    - A simple and common choice is the cyclic group (i.e., cyclic shift matrices).
  - Alternative description
    - Generate  $L$  copies of the base code graph
    - Index the copies of the base graph using a group  $G$  of order  $L$
    - Associate an element  $g_e$  to each lifted bundle of  $L$  edges. Connect variable node in copy  $g$  to check node in copy  $g_e g$
    - Choosing a cyclic group would be equivalent to using cyclic shift matrices in the earlier description

# Lifting



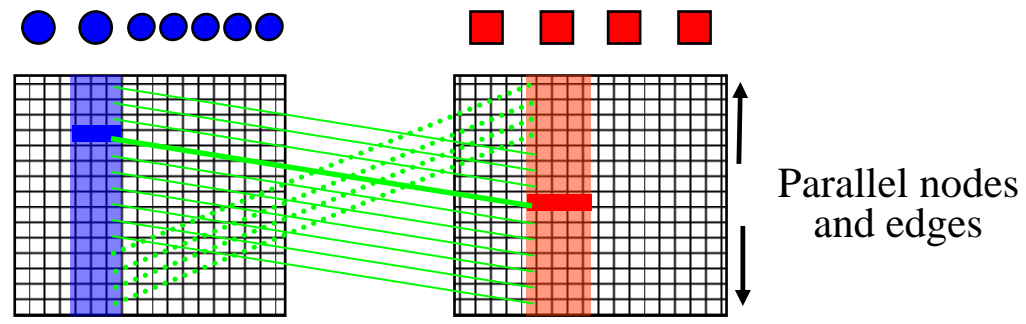
Example uses cyclic lifting.

# Liftings of LDPC Codes



Construct a small graph and replace each element of the parity check matrix with a  $k \times k$  matrix.

Edges (1s) are replaced with (e.g. cyclic) permutation matrices.



- Compact representation of graph.
- Simple, parallel encoding.
- Efficient parallel implementation of decoder.

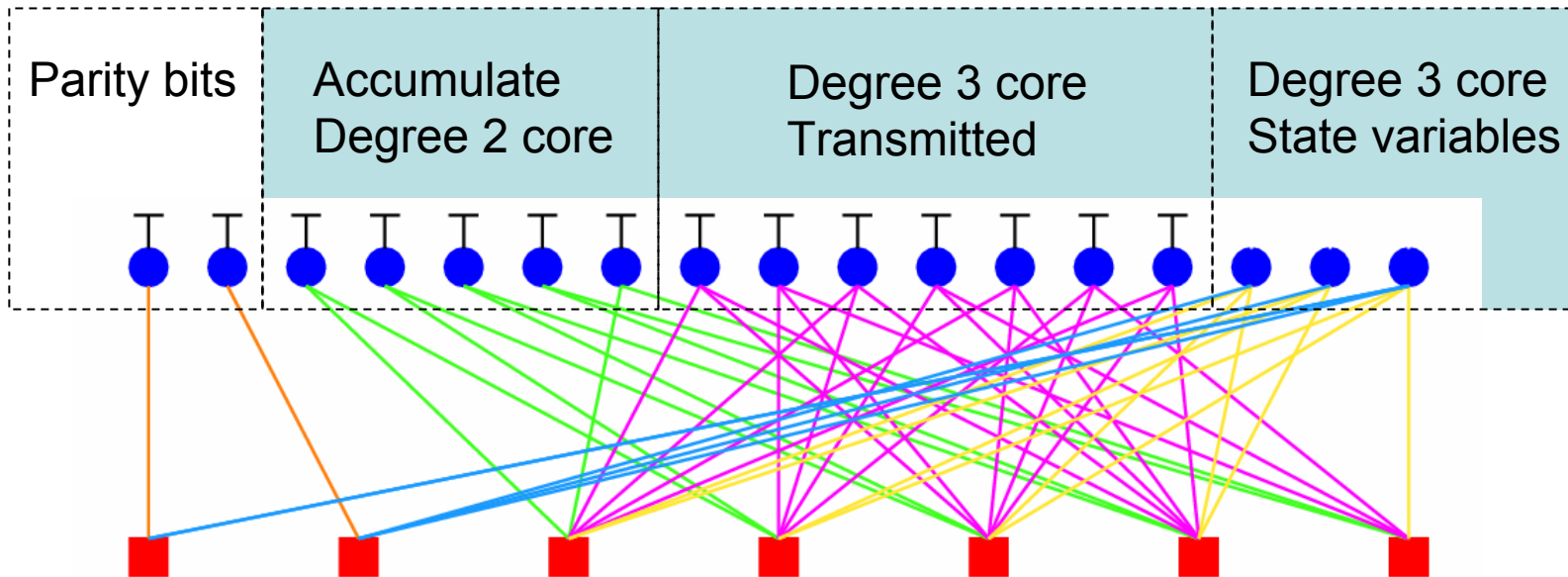
# Parallelizing Matched Lifted LDPC Codes

- Lifted LDPC codes enable parallel encoder and decoder implementations in various forms.
- Graph descriptions are compact – fundamental complexity reduction.
- Edge parallel decoder implementation:
  - Edges in the base graph are processed in a serial fashion
  - Parallelism achieved by simultaneously processing  $L$  copies of the same edge
  - Requires implementation of group permutations in a switching network
- Node parallel decoder implementation:
  - Different copies of the base graph are processed in a serial fashion
  - Parallelism achieved by simultaneously processing different nodes in the base graph
- We propose to use cyclic liftings (i.e., use of cyclic permutation matrices) with the lifting size being restricted to powers of 2
  - Cyclic liftings can be easily implemented using a counting operation, especially for the node-parallel approach
  - Restriction on the lifting size ensures that all the different lifting sizes have a large common factor
    - Important property for an edge-parallel implementation
- Proposed structure thus provides efficient support for both node-parallel and edge-parallel implementations.



# Typical base structure

High rate example: low rates have many more parity bits.



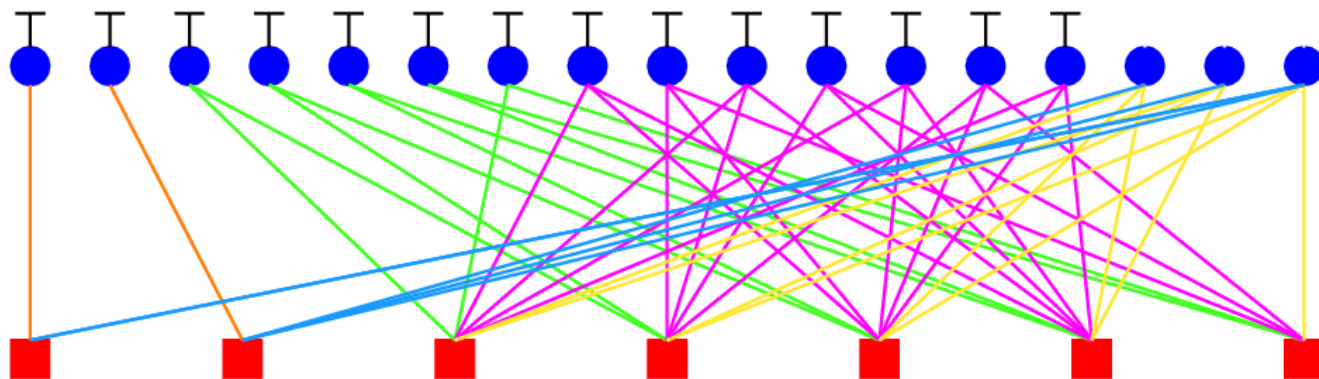
# Features of the multi-edge LDPC codes used

- Some state variable (punctured) nodes.
  - Gives higher thresholds with lower degrees: performance/complexity improvement.
  - Provably superior on the BEC.
- Degree 2 accumulate chain. Structure in common with IRA codes. Also called dual diagonal structure. Facilitates simple encoding.
- Degree 1 variable nodes. Parity bits optimized for threshold considerations, very convenient for HARQ. Very simple for encoding.
- Core graph consists of degree 3, punctured degree 3 and degree 2 accumulate chain.

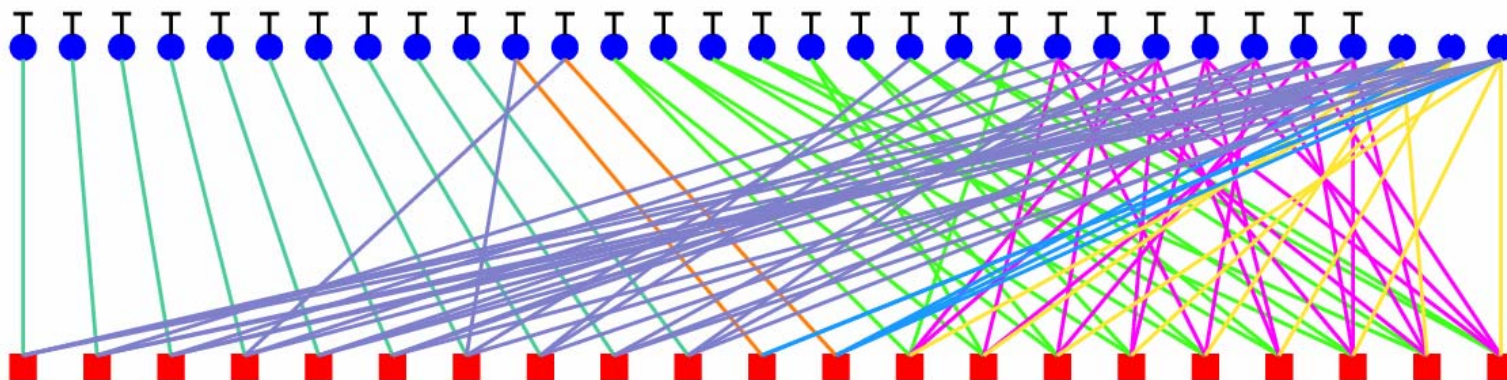
# HARQ Design

- Higher rate codes achieved by puncturing parity bits and some degree 2 bits from lower rate codes.
- Punctured variable nodes are of two types
  - Parity bits: variable node of degree 1 connected to a new check node of arbitrary degree
  - Degree 2 variable nodes: Puncturing these can be viewed as shortening the (dual diagonal ) accumulate chain by merging of check nodes.
- Resort to repetitions when  $E_b/N_0$  gains achievable by adding more redundancy are negligible and to limit memory requirements
- This design ensures that extra complexity is not incurred at the initial transmission (in terms of the number of edges) because of incremental redundancy
  - Hardware need not process edges associated to punctured nodes, saving computation.

# Example of HARQ extension



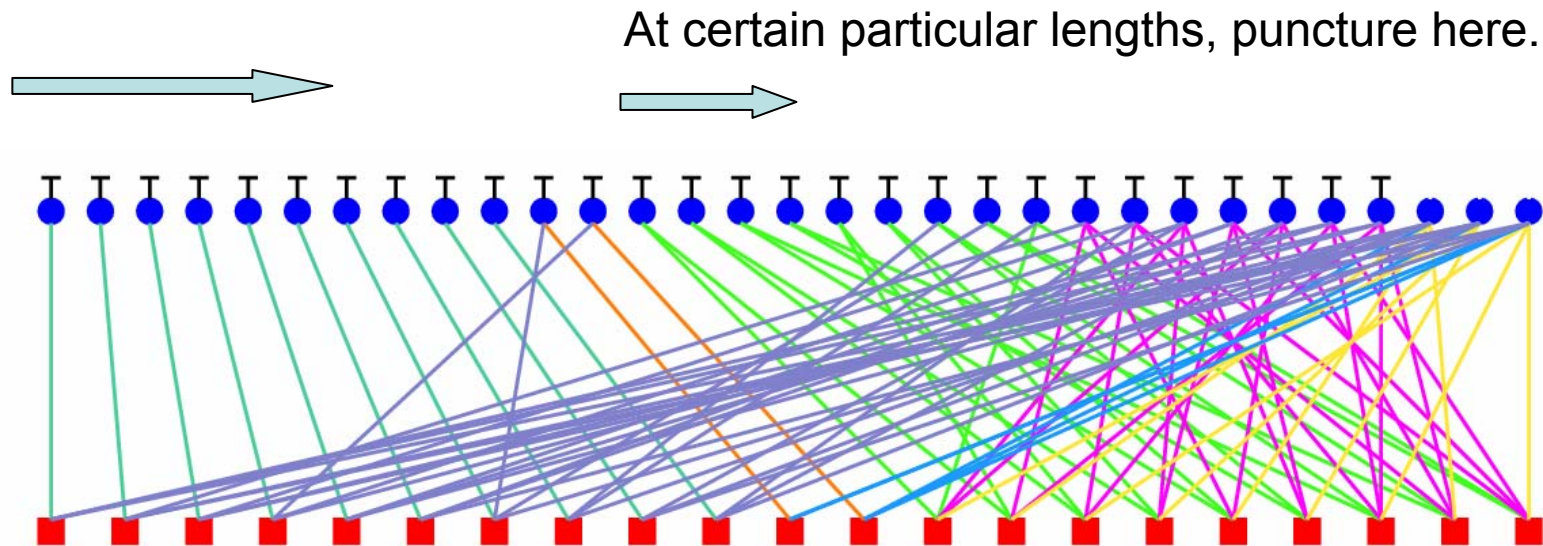
Tanner graphs for first (14,10) transmission and HARQ extension to (28,10). Note lengthening of degree 2 accumulate chain and additional parity bits.



# Example of HARQ extension

- This figure shows how a higher rate code is generated from the lower rate code
- Example shown is the same as on the previous slide, except that it shows how to go from the lower-rate code to the higher rate code

Puncture here to get higher rates.

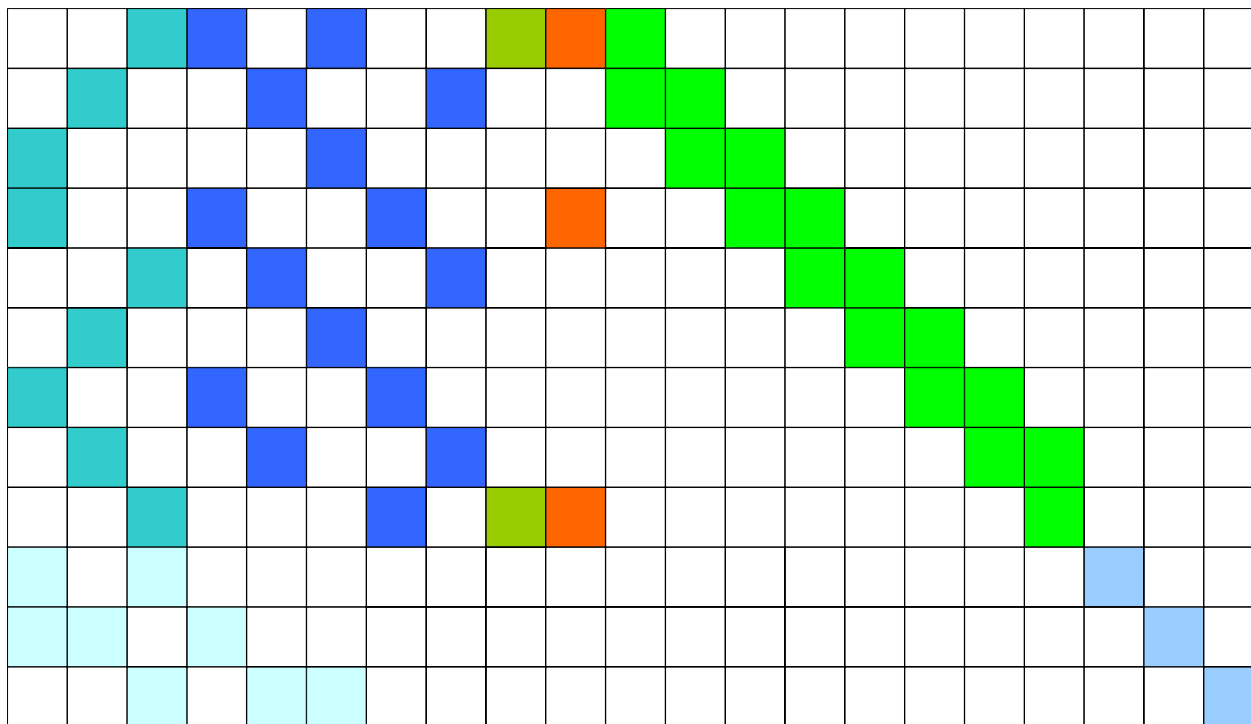


# Bit Transmission Order

- Information bits are first converted to coded bits using the lowest rate code
  - Coded bits consists of information bits as well as all defined parity bits
  - Parity bits consist of degree two variable nodes (accumulator chain) as well as degree one variable nodes (parity checks)
- The coded bits generated by this operation are then permuted
  - The order of systematic bits is unchanged and they stay before the parity bits
  - Permutation changes only the order of the parity bits
  - Parity check matrices will be presented in bit transmission order.
- HARQ transmissions are made in order from the permuted coded bit stream
  - First transmission starts with the systematic bits
  - Subsequent HARQ retransmissions begin with the bits in the buffer immediately after the position where the previous HARQ retransmission ended
  - Permutation operation enables transmission of some degree one variable nodes before all the degree two variable nodes are transmitted, as described in the previous slide

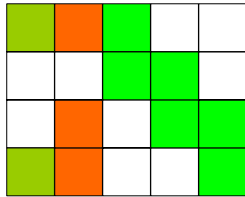


# Representative base matrix structure



Transmission proceeds left to right except for some reordering of non-information columns.

# Encoding structure

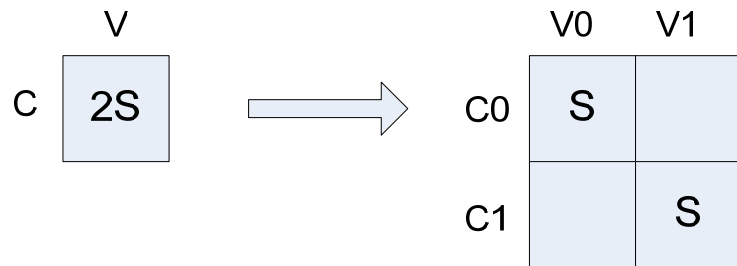
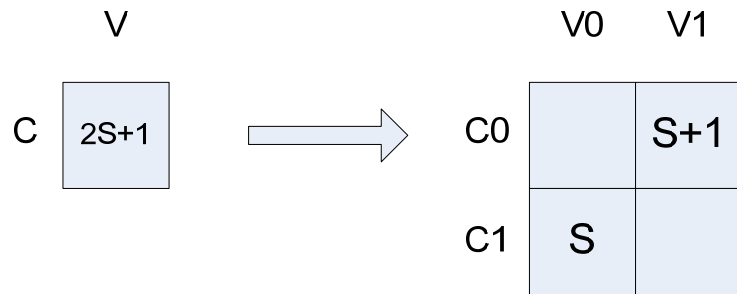


$n+1 \times n$  matrix of dual diagonal structure of length  $X$ , loop-closing degree 2 variable node, and loop-closing degree 3 variable node provide basis for encoding. Encoding structure may appear reordered.

Given a base graph  $G$  and a cyclic lifting of size  $Z$  where  $Z$  is even there is an equivalent representation of the complete graph as a base graph  $G'$  of twice the size and a cyclic lifting of size  $Z/2$ . For each node in  $G$  there are two nodes in  $G'$ : an even and an odd node. Consider a variable node  $v$  in  $G$  connected to constraint node  $c$  in  $G$ . In  $G'$  this gives rise to nodes  $v_0, v_1$  and  $c_0, c_1$ . The  $Z/2$  lifted copies of  $v_0$  and  $c_0$  correspond to the even copies of  $v$  and  $c$  respectively in the  $Z$ -lifting of  $G$ . If a permutation  $S$  in the lifting of  $G$  connecting  $v$  to  $c$  is even then  $v_0$  connects to  $c_0$  and  $v_1$  connects to  $c_1$  in  $G'$ . If  $S$  is odd then  $v_0$  connects to  $c_1$  and  $v_1$  connects to  $c_0$ .



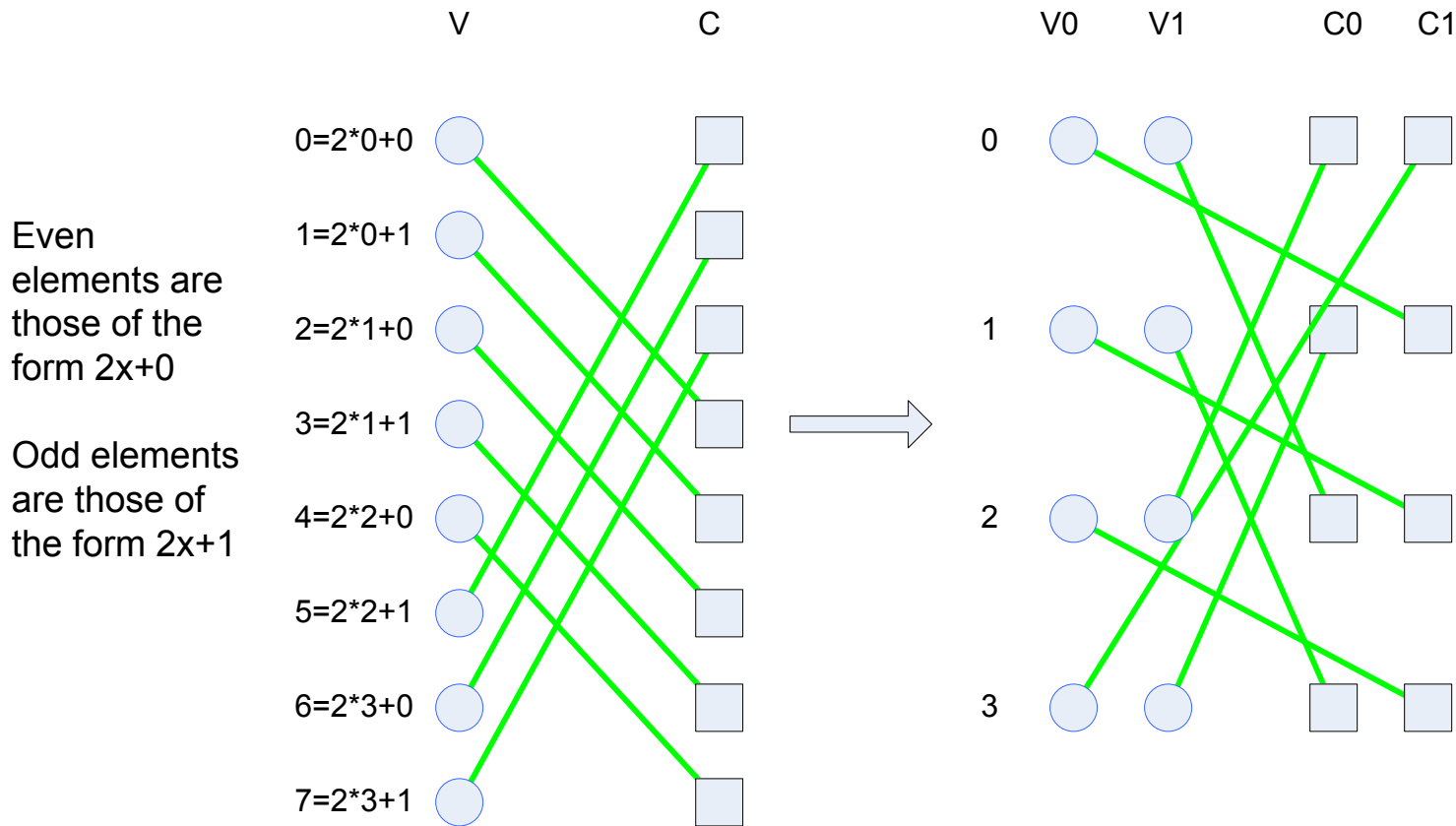
# Expansion of Lifted Parity check matrix



Each matrix entry becomes  $2 \times 2$  block. Odd lifting of size  $2S+1$  becomes cross-diagonal with  $S$  and  $S+1$  as shown, and even  $2S$  becomes diagonal  $S$  and  $S$ .

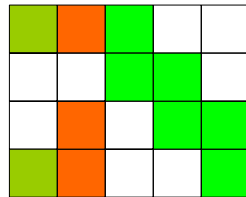
Non-edge entries expand to  $2 \times 2$  non-edge entries.

# Expansion : Tanner graph

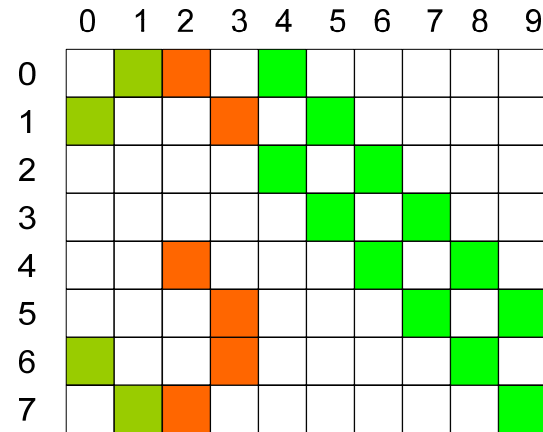


Original base pair  $v,c$  becomes  $v_0,v_1, c_0,c_1$ . Shift 3 in lifting of size 8 in original becomes lifting of 1 from  $v_0$  to  $c_1$  and 2 from  $v_1$  to  $c_0$ .

# Representative Expansion of Encoding structure



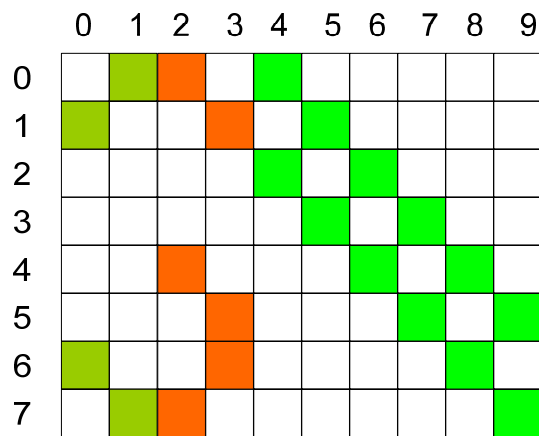
G



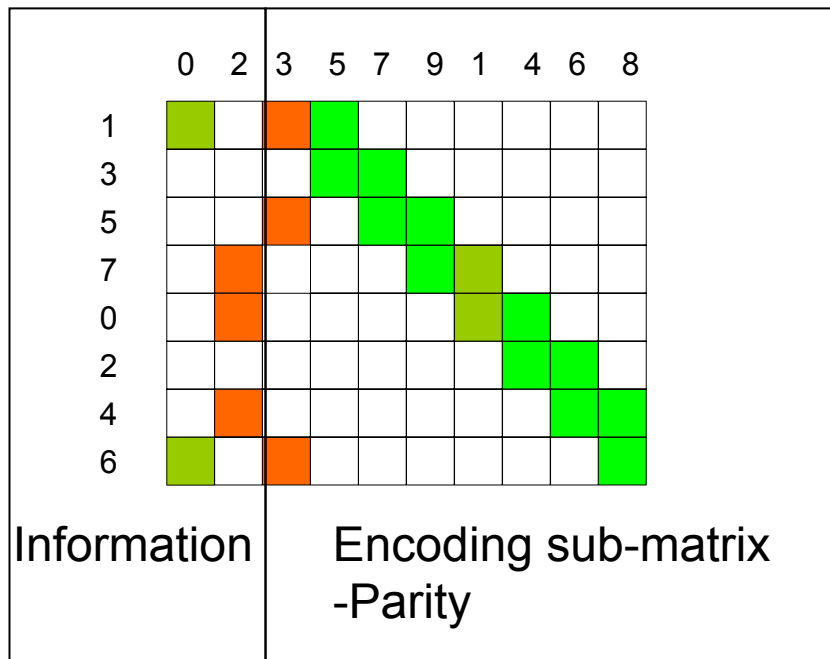
G'

Each base node is split into an even and an odd copy. The loop-closing degree 2 variable node and the loop-closing degree 3 variable node each have one edge in the loop with an even lifting and one with an odd lifting. Furthermore, in G the odd/even liftings in the loop closing are mixed at the constraints (one odd and one even lifting in each case). All other liftings are assumed even.

# Representative Expansion of Encoding structure



G'



Information

Encoding sub-matrix  
-Parity

Reordering shows the presence of a  $2X+1$  long dual diagonal structure with a loop closing degree 3 variable node. This then forms the new base encoding structure. Liftings in  $G$  are chosen so that in  $G'$ :

1. Each degree 2 variable node in the dual diagonal structure has the same lifting on each edge.
2. The loop closing edges on the degree 3 node have the same lifting value  $s$ .
3. The non-loop edge of the degree 3 has lifting permutation 0 (identity) so the lifting structure of this node is  $s-0-s$ .

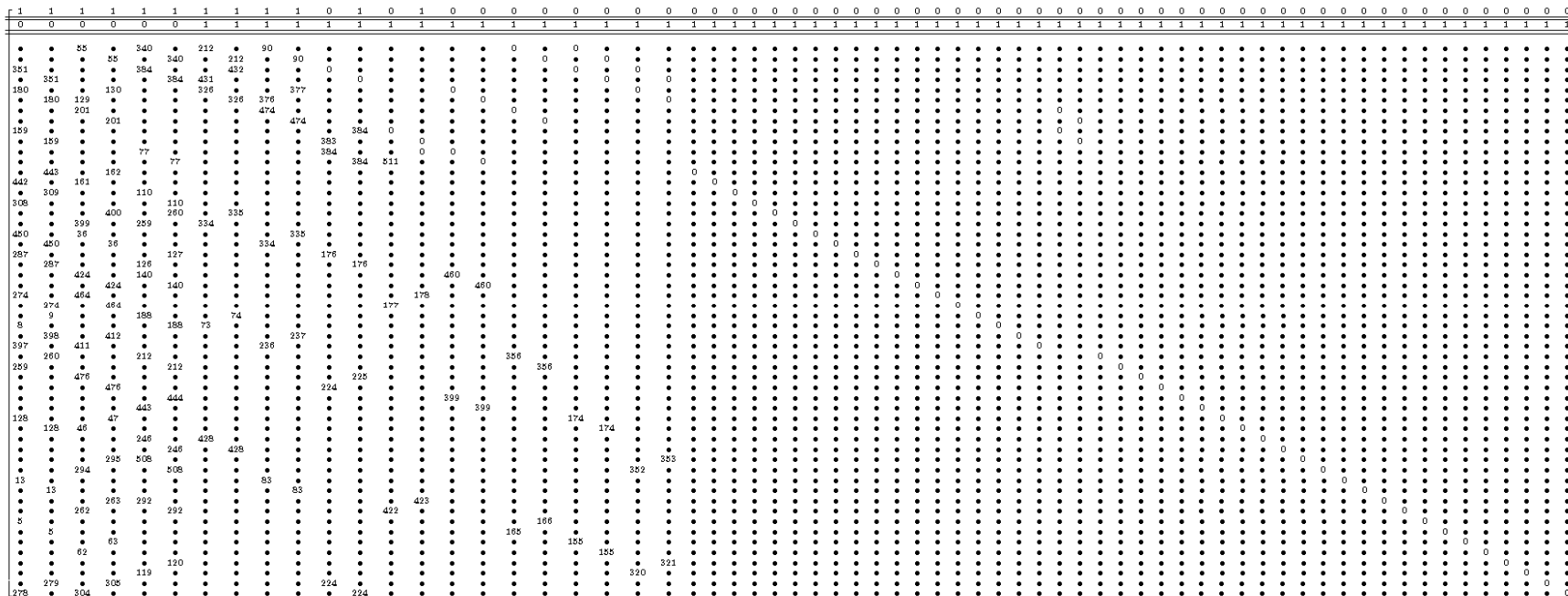
# Example lifted parity check matrix (L=1024)

- Top row: 1 = information bits, 2 = odd elements are information bits, 0 = parity bits
- Second row: 0 = punctured bits, 1 = transmitted bits.
- Matrix:
  - bullets – no lifted edge present
  - Integer – cyclic shift (mod 1024) of lifted edge

1	1	1	1	1	2	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0					
0	0	0	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1					
	•	110	680	424	180	•	•	•	0	0	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•				
702	•	•	768	863	•	0	•	•	•	0	0	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•				
360	259	•	•	652	753	•	•	0	•	•	0	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•				
	•	402	•	•	948	•	•	•	0	•	•	•	•	•	•	•	0	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•				
318	•	•	•	•	•	767	0	•	•	•	•	•	•	•	•	•	0	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•				
	•	•	154	•	•	768	1023	0	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•			
885	323	•	•	•	•	•	•	•	•	•	•	0	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•			
617	•	220	•	•	•	•	•	•	•	•	•	•	0	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•			
	•	799	519	669	•	•	•	•	•	•	•	•	•	0	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•		
900	72	•	•	•	669	•	•	•	•	•	•	•	•	0	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•		
574	•	253	•	•	•	352	•	•	•	•	•	•	•	•	0	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•		
	•	848	280	•	•	•	•	920	•	•	•	•	•	•	0	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	
548	928	•	•	•	•	•	355	•	•	•	•	•	•	•	0	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	
17	•	376	147	•	•	•	•	•	•	•	•	•	•	•	•	0	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	
795	823	•	•	•	473	•	•	•	•	•	•	•	•	•	•	0	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	
519	•	424	•	•	•	•	•	•	712	•	•	•	•	•	•	•	0	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	
	•	952	•	•	•	449	•	•	•	•	•	•	•	•	•	•	0	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	
	•	•	887	•	•	•	•	798	•	•	•	•	•	•	•	•	•	0	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	
256	93	•	•	•	•	•	•	•	•	348	•	•	•	•	•	•	•	•	0	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	
	•	•	492	856	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	0	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	
	•	589	1016	•	•	•	•	•	•	•	705	•	•	•	•	•	•	•	•	•	0	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	
26	•	•	•	•	166	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	0	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	
	•	525	584	•	•	•	•	845	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	0	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•
10	•	•	•	•	•	•	•	•	•	331	•	•	•	•	•	•	•	•	•	•	•	•	•	•	0	•	•	•	•	•	•	•	•	•	•	•	•	•	•
	•	125	•	•	•	•	•	•	•	•	310	•	•	•	•	•	•	•	•	•	•	•	•	•	•	0	•	•	•	•	•	•	•	•	•	•	•	•	•
	•	•	239	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	0	•	•	•	•	•	•	•	•	•	•	•	•
557	609	•	•	•	•	448	•	•	•	•	•	•	•	•	641	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	0	

Rightmost column of full information bits (top row=1) (5th column in example) used for zero padding. The last bits in the column are zero-padded.

# Expanded example graph



Each matrix entry in original example is expanded to a 2x2 block.  
 Column 11 is the even portion of encoding degree 3 (information bits) from the original graph..  
 Column 12 is the odd portion of encoding degree 3. Note s-0-s structure in first three shifts (reordered here).  
 Column 13 is the even portion of loop-closing degree 2 from the original graph (information bits).  
 Column 14 is the odd portion of loop closing degree 2 (parity bits).  
 (Note: degrees are actually larger than stated above because of additional parity bits.)

# Encoding: explanation of derivation

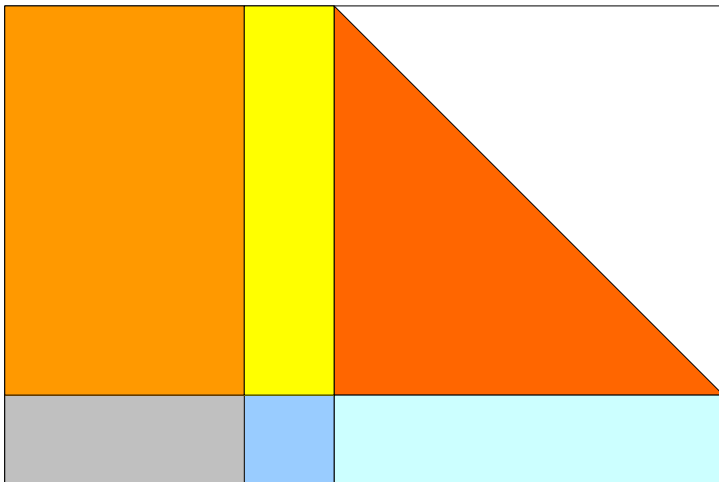
$$\begin{bmatrix} B & A & T \\ E & D & C \end{bmatrix}$$

Up to reordering of columns and rows, lifted parity matrix takes the form shown here where  $T$  is lower triangular and

$$\begin{bmatrix} A & T \\ D & C \end{bmatrix}$$

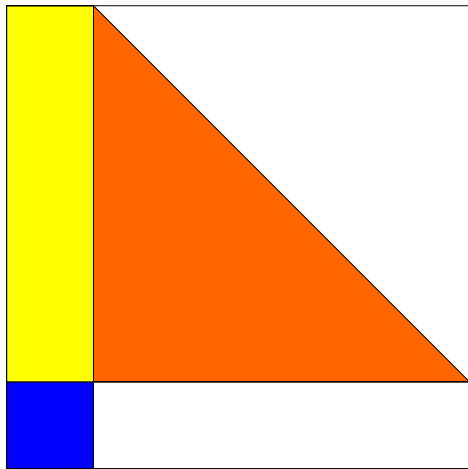
is invertible.

In all proposed codes  $D$  is  $1 \times 1$ .



# Encoding: explanation of derivation

$$\begin{bmatrix} A & T \\ \phi & 0 \end{bmatrix}$$



Gaussian elimination on

$$\begin{bmatrix} A & T \\ D & C \end{bmatrix}$$

yields

$$\begin{bmatrix} A & T \\ \phi & 0 \end{bmatrix}$$

where  $\phi = CT^{-1}A + D$



## Encoding: operations: 3 steps

$$\begin{bmatrix} B & A & T \end{bmatrix} \begin{bmatrix} x^s \\ 0 \\ y \end{bmatrix} = 0$$

Set information bits  $x^s$ . Solve for  $y$ : back substitution, identical to erasure decoding

$$x^{p_2} = \phi^{-1}(Cy + Ex^s)$$

Multiplication by  $\phi^{-1}$  to get parity bits  $x^{p_2}$

$$\begin{bmatrix} B & A & T \end{bmatrix} \begin{bmatrix} x^s \\ x^{p_2} \\ x^{p_1} \end{bmatrix} = 0$$

Solve for  $x^{p_1}$ : back substitution - repeat step 1 with different data

## Encoding Simplifications

- Encoding effectively done in expanded graph.
- Parameters chosen so that  $\Phi^{-1}$  is identity matrix.
- The provided LDPC code parity check matrices are for the lowest rates, they are punctured to provide higher rates.
- The codes are structured as an inner “core” LDPC of high rate with outer parity bits.
- The encoding procedure described above can be applied to the core only, except for the last step where the parity bits are also determined.

# Support for Multiple Packet Sizes - I

- LBC system supports a variety of packet sizes, determined by
  - Spectral efficiency (i.e., packet format), assignment bandwidth, pilot overhead, MIMO rank, etc
- To support all these packet sizes and code rates, we propose the following strategy
  - Generate a set of base codes for values of  $k_B$  ranging from 6 to 11
  - For each  $k_B$ , generate a sequence of HARQ extensions spanning all the code rates supported by the LBC system
    - Eg. for  $k_B = 6$ , the code provided is a (30,6) code, i.e., lowest code rate 0.2 (final lowest code rate TBD).
    - Higher code rates are generated by puncturing this code
  - For all the base codes thus defined, generate liftings of size  $2^l$  for a range of values of  $l$ , eg.  $2^l = 16, 32, 64, 128, 256, 512, 1024$
- Scaling of the lifting entries
  - Corresponding to each edge  $e$  in the base graph, the shift parameter  $s_e'$  for a smaller lifting size  $L_1$  can be generated from the shift parameter  $s_e$  for the largest lifting size  $L_0$  according to a scaling and flooring operation
  - Exact formula is given by  $s_e' = \lfloor s_e L_1 / L_0 \rfloor$
  - This scaling operation preserves the relationship described in the preceding slides between encoding parameters for all lifting sizes.

# Encoding Preserved under Scaling

-1	H	0		
		0	0	
	0		0	0
0	H-1			0

Let  $2^L$  be maximum lifting size. (e.g.  $L=10$ ).

For each degree 2 variable node in the dual-diagonal structure both edges use the same permutation, e.g. 0.

Degree 3 variable node permutations are H,0,H-1 where

$$H=2^{L-3}S \text{ for some } S \text{ in } 0,1,\dots,7.$$

Loop closing degree 2 shifts are -1 and 0 (mod  $2^L$ ).

If we scale the lifting size from  $2^L$  to  $2^l$  where  $l$  is in the set  $\{4,5,\dots,L\}$  then we observe that the structure is unchanged except that -1 should be interpreted modulo  $2^l$  and  $H=2^{l-3}S$ .

After expansion of the scaled matrix the encoding structure is as shown below, where  $H'=2^{l-4}S$  and -1 is modulo  $2^{l-1}$

	0	1	2	3	4	5	6	7	8	9
0		0	H'		0					
1	-1			H'		0				
2					0		0			
3						0		0		
4			0				0		0	
5				0				0		0
6	0			H'					0	
7		0	H'-1							0

	0	2	3	5	7	9	1	4	6	8
1	-1		H'	0						
3				0	0					
5			0		0	0				
7		H'-1				0	0			
0		H'					0	0		
2								0	0	
4		0							0	0
6	0		H'							0

## Support for Multiple Packet Sizes - II

- For any desired packet size  $(n,k)$ , choose  $k_B, l$  (at least 4) and  $n_B$  :

$$l = \lceil \log_2 (k/11) \rceil$$

$$k_B = \lceil 2^{-l} k \rceil$$

$$n_B = \lceil 2^{-l} ( n - ( k - 2^l k_B ) ) \rceil$$

- To get desired code rate and size

zero-pad  $z=2^l k_B - k$  information bits ( $< 2^l$  bits) starting from information bit  $2^l k_B - z - 2^l$  (enumeration starts with 0)

(zero-pad end of last full information column)

puncture the last  $2^l n_B - ( n - ( k - 2^l k_B ) )$  bits ( $< 2^l$  bits)

- This procedure allows to generate good rate-compatible codes for any values of  $k$  and  $n$  using a small number of mother codes. (HARQ extensions share the same  $k$  and zero-pad.)

# Support for Multiple Packet Sizes - III

- Attachment XXXXXX shows the set of parity check matrices for all values of  $k_B$  between 6 and 11
  - Matrix columns are ordered from left to right in the order of transmission
- The matrices specify a lifted LDPC code in the following manner:
  - Size of the matrix corresponds to the size of the base graph
  - The two rows above the matrix indicate the following:
    - Whether a given variable node is an information node
    - Whether a given variable node is transmitted or is a state variable (punctured node)
  - A black circle in the matrix indicates that no edge is present
  - An integer in the matrix indicates that an edge is present and the value of the integer specifies the cyclic permutation to be used for lifting that edge
    - Integers take values between 0 and  $L-1$ , and specify elements of the group  $Z_L$ 
      - Specify a lifting of order  $L$
      - Here,  $L$  is the maximum lifting size
    - Liftings of smaller order (limited to smaller powers of two) are defined implicitly by using the division and scaling operation described in the preceding slides

# LDPC Support for AT and AP

- Support for LDPC coding to be optional at both AT and AP
  - Separate capability parameter for FL and RL
- If both AT and AP support LDPC codes, LDPC codes are used for all packet sizes above a certain minimum size
  - This minimum size is sufficient in order to demodulate overhead information and complete initial capability negotiation
  - Turbo codes are used for transmitting all overhead information
- There is also a capability field specifying maximum packet size supported using turbo codes
  - Ensures that a terminal implementing LDPC coding does not also have to implement a high-rate turbo decoder

# Conclusion

- Proposed an LDPC coding scheme that:
  - Provides performance comparable to or better than that of turbo codes
  - Built in support for parallelization, enabling implementation of high-speed decoders
  - Provides efficient support for HARQ